



Entropy Measures for the Micro-topology of Dense Granular Flow



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1 Problem Summary

- **Regime of behavior:** Dense granular flow of 2-dimensional material (i.e., “steady-state” or “critical state” flow)
- **Phenomena of interest:** Probability distributions of the local void topology (void cell valence) and particle topology (coordination number)
- **Hypothesis:** these probability distributions can be estimated by applying a maximum entropy principle.

2 Background

Dense granular flow at the “critical state”

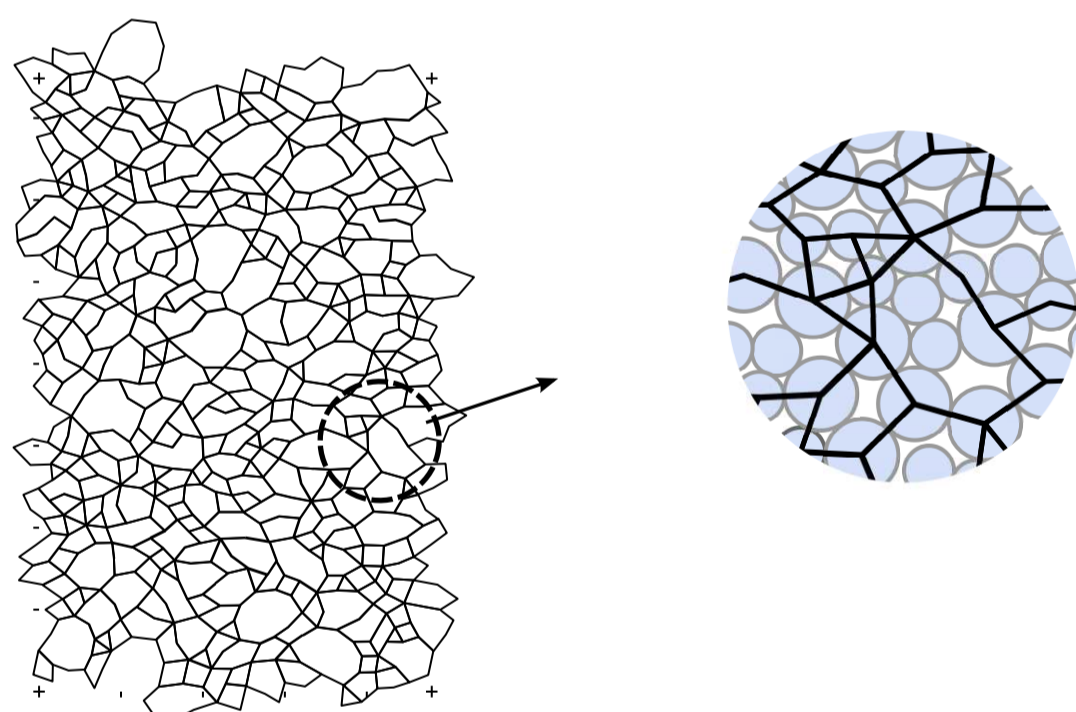
- Characterized by **constant bulk characteristics:** constant volume, constant mean stress, constant shear stress, constant fabric anisotropy, etc.
- Yet, at the micro-scale, **change is rapid and pervasive.**
- Our focus is on the continually changing **contact topology.**

Topology of two-dimensional flow

Bulk measures of topology:

$$\text{Mean coordination no.} = \bar{n} \quad \text{Mean void valence} = \bar{l}$$

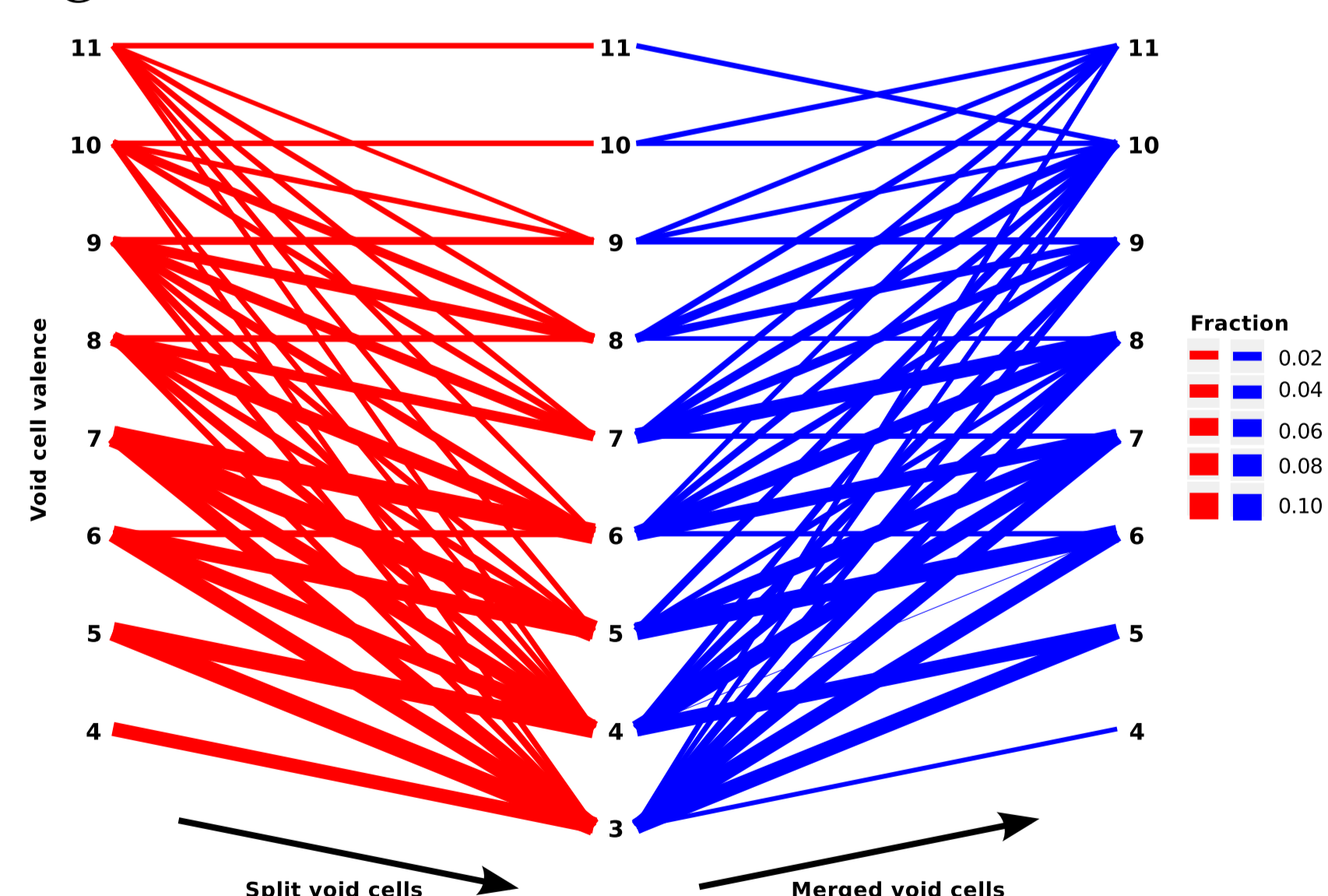
The **particle graph** is a planar graph that represents the contacts (edges), particles (vertices), voids (faces, polygons).



The particle graph **continually changes:**

- New contacts “**split**” existing polygons
- Lost contacts “**merge**” existing polygons

These changes of topology will completely alter the particle graph during small increments of deformation.

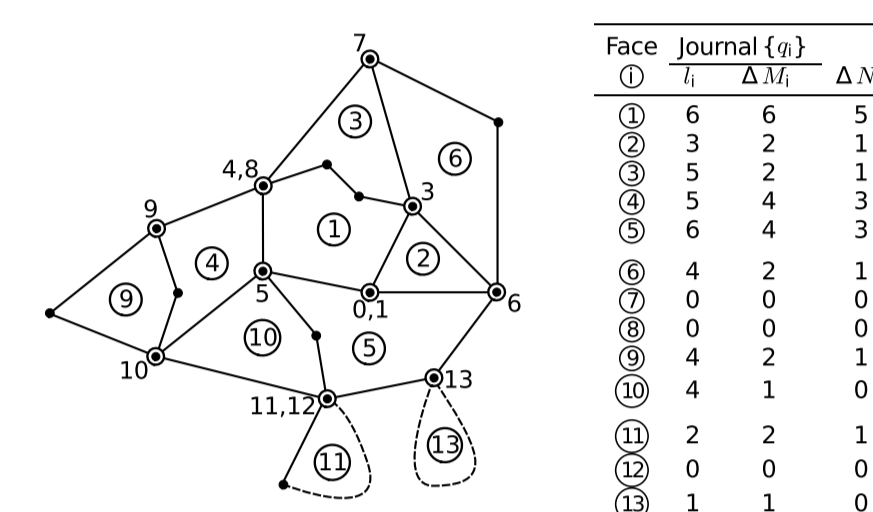


3 Solution

- **Objective:** theory-based **probability distributions** of the void valences and coordination numbers

- **Premise:** topology is **maximally disordered** during granular flow
- **Step 1:** Categorize the individual void polygons (i.e., develop a **taxonomy of void type**).

Any planar graph can be constructed from a “journal” of pairs $(l_i, \Delta M_i)$:



Each pair describes an additional void that is appended to the previous planar graph:

$$l_i = \text{valence of the appended polygon}$$

$$\Delta M_i = \text{no. of added edges to create the polygon}$$

- **Step 2:** Discrete probability distribution by type:

$$\text{Probability } P_{l, \Delta M} \Rightarrow \sum_{l=3}^{\infty} \sum_{\Delta M} P_{l, \Delta M} = 1$$

- **Step 3:** Constraints due to the average coordination number, average valence, and Euler’s equation:

$$\langle \Delta M \rangle \equiv \sum_{l=3}^{\infty} \sum_{\Delta M} \Delta M P_{l, \Delta M} = \frac{\bar{n}}{\bar{n} - 2}$$

$$\langle l \rangle \equiv \sum_{l=3}^{\infty} \sum_{\Delta M} l P_{l, \Delta M} = \frac{2\bar{n}}{\bar{n} - 2}$$

- **Step 4:** Maximize the Shannon entropy, H :

$$H = \sum_{l=3}^{\infty} \sum_{\Delta M} P_{l, \Delta M} \ln (P_{l, \Delta M})$$

- **Step 5:** Using the Jaynes formalism, solve to find the void valence probabilities:

$$P_{l, \Delta M} = \frac{1}{Z(\lambda_1, \lambda_2)} \exp(-\lambda_1 l - \lambda_2 \Delta M)$$

with a partition function $Z(\lambda_1, \lambda_2)$ and Lagrange multipliers λ_1 and λ_2 that satisfy the mean coordination number and void valence.

- **Step 6:** use the duality principle to derive the corresponding probability distribution of coordination numbers.

4 Verification

Comparison of predicted probability distributions with discrete element (DEM) simulations:

